

DOCKET NO.: ABTT-0351/B020670



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

James Pierre, et al.

Application No.: 10/661,846

Filing Date: September 12, 2003

For: **OBJECT-ORIENTED SYSTEM FOR MONITORING FROM THE WORK-STATION TO THE BOARDROOM**

Confirmation No.: 8535

Group Art Unit: 2173

Examiner: Not Yet Assigned

DATE OF DEPOSIT: *January 3, 2005*

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: Lawrence A. Aaronson
REGISTRATION NO.: 38,369

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **5 thru 9** listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

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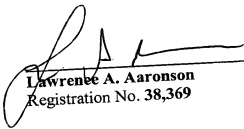
PATENT

Enclosed is a copy of the EPO European Search Report dated November 23, 2004, which indicates the reference(s) to be relevant.

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: January 3, 2005


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Form PTO-1449 Modified

**List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)**

U.S. Department of Commerce
Patent and Trademark Office

Docket No.
ABTT-0351/B020670

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Applicant
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Filing Date
September 12, 2003

Group
2173

Confirmation No.
8535

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

- | | |
|---|---|
| 5 | Coppus, G. et al., "Manufacturing Information Systems for the Process Industry: Responding to the Plant Management Challenges of the 90's", <i>ISA Transactions</i> , 1995, 34, 119-132 |
| 6 | Luh, C.J., "An Object-Oriented Approach Towards Computer-Integrated Manufacturing Systems Analysis", <i>Systems, Man, and Cybernetics, Humans, Information and Technology</i> , 1994, 1855-1859, XP 010139094 |
| 7 | Luo, H. et al., "Cimflow: A Workflow Management System Based on Integration Platform Environment", <i>Emerging Technologies and Factory Automation</i> , 1999, 233-241, XP010365781 |

EXAMINER

DATE CONSIDERED _____

**List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)**

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Patent and Trademark Office

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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					Translation	
Examiner Initial		Document No.	Date	Country	YES	NO
	9	WO 02/061626 A1	08/08/02	PCT		
DATE CONSIDERED						

DATE CONSIDERED